

B 2100A (Form 2100A) (12/15)

UNITED STATES BANKRUPTCY COURT
Southern District Of New York

In re: Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (SCC)
(Jointly Administered)

TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

NG KA WING KEVIN

**The Hongkong and Shanghai Banking
Corporation Limited**

Name of Transferee

Name of Transferor

Name and Address where notices to transferee
should be sent:

Flat A, 4/F, 32 Kennedy Road, Hong Kong

Phone: +852-2525 5663 / +852-9492 9251

Court Claim # (if known): [5666⁹]

Amount of Claim: See attached Evidence of Partial
Transfer of Claim

Date Claim Filed: 29 October 2009

N/A

Name and Address where transferee payments
should be sent (if different from above):

Phone: +852 3604 7640

Last Four Digits of Acct. #: 6253

Phone: N/A

Last Four Digits of Acct. #:

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By:  Date: 2 August 2021

Transferee/Transferor's Agent

Name: Ng Ka Wing Kevin

Title:

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

E2.275174

RESTRICTED

EVIDENCE OF PARTIAL TRANSFER OF CLAIM

TO: Clerk, United States Bankruptcy Court, Southern District of New York

THE HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED ("Transferor"), does hereby certify that, as of 3 August 2021, it has unconditionally and irrevocably transferred and assigned to [NG KA WING KEVIN] ("Transferee"), to the extent specified below (the "Transferred Claim"), Transferor's right, title and interest in and to Proof of Claim Number [56669] filed by or on behalf of Transferor against Lehman Brothers Holdings Inc., debtor in Case No. 08-13555 (SCC) in the United States Bankruptcy Court for the Southern District of New York, solely in the following amounts (the "Claim").

<u>ISIN</u>	<u>Issuer</u>	<u>Guarantor</u>	<u>Principal Amount Transferred</u>
[XS0333830700]	Lehman Brothers Treasury Co BV 2Y CDA 5+16+388 35% 07DEC2009	LEHMAN BROTHERS HOLDINGS INC	USD400,000
[XS0335623061]	Lehman Brothers Treasury Co BV 2Y CDA STK 5- 102.7166 939-6.324 28.75% 21DEC2009	LEHMAN BROTHERS HOLDINGS INC	USD400,000


For the avoidance of doubt, no portion of the Claim has been transferred to the Transferee other than the Transferred Claim.

Transferor hereby waives any notice or hearing requirements imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, and stipulates that an order may be entered recognizing the assignment evidenced by this Evidence of Transfer of Claim as an unconditional assignment and Transferee herein as the valid owner of the Transferred Claim. You are hereby requested to make all future payments and distributions, and to give all notices and other communications in respect of the Transferred Claim to Transferee.

IN WITNESS WHEREOF, dated as of the 3RD day of August 2021

**THE HONGKONG AND SHANGHAI
BANKING CORPORATION LIMITED**

By: _____
For and on behalf of
The Hongkong and Shanghai Banking Corporation Limited

Name: _____


Title: _____
Terry Lam

Tel.: _____
Head of Private Banking Operations
Private Banking Division

NG KA WING KEVIN

By: _____


Name: Ng Ka Wing Kevin

Title: N/A

Tel.: +852-9492 9251

E2.275192

RESTRICTED